



### UNITED STATES PATENT AND TRADEMARK OFFICE

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### NOTICE OF ALLOWANCE AND FEE(S) DUE

026304

7590

08/31/2004

KATTEN MUCHIN ZAVIS ROSENMAN 575 MADISON AVENUE NEW YORK, NY 10022-2585 EXAMINER

ANYA, CHARLES E

ART UNIT

PAPER NUMBER

2126

DATE MAILED: 08/31/2004

| [ | APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|---|-----------------|-------------|----------------------|---------------------|------------------|
| • | 09/162,849      | 09/29/1998  | YUMI SUZUKI          | FUJA                | 9310             |

TITLE OF INVENTION: INFORMATION PROCESSING METHOD AND INFORMATION PROCESSING APPARATUS

| APPLN, TYPE    | SMALL ENTITY ISSUE FEE |        | PUBLICATION FEE | TOTAL FEE(S) DUE | DATE DUE   |
|----------------|------------------------|--------|-----------------|------------------|------------|
| nonprovisional | NO                     | \$1330 | \$0             | \$1330           | 11/30/2004 |

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE REFLECTS A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE APPLIED IN THIS APPLICATION. THE PTOL-85B (OR AN EQUIVALENT) MUST BE RETURNED WITHIN THIS PERIOD EVEN IF NO FEE IS DUE OR THE APPLICATION WILL BE REGARDED AS ABANDONED.

#### HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

- A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.
- B. If the status above is to be removed, check box 5b on Part B Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

- A. Pay TOTAL FEE(S) DUE shown above, or
- B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.
- II. PART B FEE(S) TRANSMITTAL should be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). Even if the fee(s) have already been paid, Part B Fee(s) Transmittal should be completed and returned. If you are charging the fee(s) to your deposit account, section "4b" of Part B Fee(s) Transmittal should be completed and an extra copy of the form should be submitted.
- III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

Page 1 of 4

Best Available Copy

#### PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail

Mail Stop ISSUE FEE Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450 (703) 746-4000

|  |   |   | or <u>F</u>   | <u>(ax</u> (703) 746-4000  |   |  |  |
|--|---|---|---|--|---|--|--|
| INSTRUCTIONS: This for<br>appropriate. All further con<br>indicated unless corrected to<br>maintenance fee notification                    | m should be used for trans<br>respondence including the I<br>selow or directed otherwise<br>is.                     | mitting the ISSUP<br>atent, advance ord<br>in Block 1, by (a)       | E FEE and I<br>lers and notif<br>specifying a   | PUBLICATION FEE (if requirection of maintenance fees when he new correspondence address  | ired). Blocks 1 through 5 sl<br>will be mailed to the current<br>; and/or (b) indicating a sepa                           | nould be completed where<br>correspondence address as<br>rate "FEE ADDRESS" for  |  |
| CURRENT CORRESPONDENC  | E ADDRESS (Note: Use Block 1 for  | any change of address)  | Fee(s) Transmittal, Th  | Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must |   |  |  |
| 026304 75  | 590 08/31/2004  |   |   | have its own certificat  | e of mailing or transmission.   | int or formal drawing, must  |  |
| KATTEN MUCH  | IIN ZAVIS ROSENI  | MAN   |   | Ce   | rtificate of Mailing or Trans   | mission  |  |
| 575 MADISON A  |   |   |   | I hereby certify that the  | his Fee(s) Transmittal is being   | denosited with the United  |  |
| NEW YORK, NY   |   |   |   | addressed to the Mai   | with sufficient postage for first<br>il Stop ISSUE FEE address<br>PTO (703) 746-4000, on the d                            | st class mail in an envelope above, or being facsimile   |  |
| ,  |   |   |   | transmitted to the USI   | PTO (703) 746-4000, on the d  | (Depositor's name)   |  |
|  |   |   |   |  |   | (Signature)  |  |
|  |   |   |   |  |   | (Date)   |  |
| APPLICATION NO.  | FILING DATE   |   | FIRST NAMED   | DINVENTOR  | ATTORNEY DOCKET NO.   | CONFIRMATION NO.   |  |
| 09/162,849   | 09/29/1998  |   | YUMI S  | UZUKI  | FUJA  | 9310   |  |
| 09/102,049   | 0)12)11))0  |   | TOMIS   | CZCKI  | 10371   | 7510   |  |
| FITLE OF INVENTION: IN   | NFORMATION PROCESSII  | NG METHOD AN  | D INFORMA   | TION PROCESSING APPAR  | CATUS   |  |  |
| APPLN. TYPE  | SMALL ENTITY  | ISSUE FE  | EE  | PUBLICATION FEE  | TOTAL FEE(S) DUE  | DATE DUE   |  |
| nonprovisional   | NO  | \$1330  |   | \$0  | \$1330  | 11/30/2004   |  |
| EXAM   | IINER   | ART UNI   | Τ   | CLASS-SUBCLASS   | ]   |  |  |
| ANYA, CI   | HARLES E  | 2126  |   | 719-312000   |   |  |  |
| 1. Change of correspondence  | e address or indication of "Fo  | ee Address" (37   | 2. For prin   | ting on the patent front page, I   | ist   |  |  |
| CFR 1.363).  |   |   | (1) the names of up to 3 registered patent attorneys or agents OR, alternatively, (2) the name of a single firm (having as a member a                       |  |   |  |  |
| Address form PTO/SB/12   | lence address (or Change of (<br>22) attached.  | Correspondence  |   |  |   |  |  |
| "Fee Address" indicate   | tion (or "Fee Address" Indicator more recent) attached. Use   | tion form   | registered<br>2 registere   | attorney or agent) and the nan<br>d patent attorneys or agents. If<br>name will be printed.  | nes of up to  |  |  |
| 3. ASSIGNEE NAME AND   | RESIDENCE DATA TO B   | E PRINTED ON T  | HE PATENT   | (print or type)  |   |  |  |
| PLEASE NOTE: Unless recordation as set forth in  | an assignee is identified be 37 CFR 3.11. Completion  | elow, no assignee of this form is NOT                               | data will apportate   | ear on the patent. If an assign<br>for filing an assignment.   | nee is identified below, the d  | ocument has been filed for   |  |
| (A) NAME OF ASSIGN   |   |   |   | E: (CITY and STATE OR CO   |   |  |  |
|  |   |   |   |  |   |  |  |
|  |   |   |   |  | Corporation or other private gro  | По   |  |
| 4a. The following fee(s) are   | e assignee category or catego enclosed:   |   | Payment of  |  | orporation of other private gr  | oup entity Government  |  |
| ☐ Issue Fee  |   |   | A check i   | in the amount of the fee(s) is en  | nclosed.  |  |  |
| Publication Fee (No s  | mall entity discount permitte   | d)  | Payment by credit card. Form PTO-2038 is attached.  |  |   |  |  |
|  | Copies  |   | The Director is hereby authorized by charge the required fee(s), or credit any overpayment, to Deposit Account Number (enclose an extra copy of this form). |  |   |  |  |
| 5. Change in Entity Status   | (from status indicated above  |   | Deposit rece  | odik (Vdilloct   | (Oncress an extra c   | opy or time rottiny.   |  |
|  | MALL ENTITY status. See   |   |   | ant is no longer claiming SMA  |   |  |  |
| The Director of the USPTO NOTE: The Issue Fee and P interest as shown by the reco  | is requested to apply the Issublication Fee (if required) vords of the United States Pate                           | ne Fee and Publicat<br>will not be accepted<br>ent and Trademark    | ion Fee (if an<br>I from anyone<br>Office.  | y) or to re-apply any previous<br>cother than the applicant; a reg   | ly paid issue fee to the applica<br>sistered attorney or agent; or the  | ation identified above.<br>he assignee or other party in   |  |
| Authorized Signature   |   |   |   | Date   |   |  |  |
|  |   |   |   |  | n No  |  |  |
| This collection of information   | on is required by 37 CFR 1 3  | 11. The informatio  | n is required   |  |   |  |  |
| an application. Confidential submitting the completed aphis form and/or suggestions Box 1450, Alexandria, Virg Alexandria, Virginia 22313- | ity is governed by 35 U.S.C. oplication form to the USPTs for reducing this burden, shinia 22313-1450. DO NOT 1450. | 122 and 37 CFR O. Time will vary will be sent to the SEND FEES OR C | 1.14. This coldepending up<br>Chief Inform<br>COMPLETED   | to obtain or retain a benefit by<br>lection is estimated to take 12<br>2001 the individual case. Any conation Officer, U.S. Patent and<br>D FORMS TO THIS ADDRES   | minutes to complete, includir<br>comments on the amount of ti<br>I Trademark Office, U.S. Dep<br>S. SEND TO: Commissioner | ng gathering, preparing, and<br>me you require to complete<br>artment of Commerce, P.O.<br>for Patents, P.O. Box 1450, |  |

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| 026304                     | 7590 08/31/2004    |                      | EXAM                   | INER             |
| KATTEN MU                  | CHIN ZAVIS ROSENMA | ANYA, CHARLES E      |                        |                  |
| 575 MADISON<br>NEW YORK, N |                    |                      | ART UNIT               | PAPER NUMBER     |
| 1.2 1.3, 1                 |                    |                      | 2126                   |                  |
|                            |                    |                      | DATE MAILED: 08/31/200 | 4                |

# Determination of Patent Term Extension under 35 U.S.C. 154 (b)

(application filed after June 7, 1995 but prior to May 29, 2000)

The Patent Term Extension is 0 day(s). Any patent to issue from the above-identified application will include an indication of the 0 day extension on the front page.

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Extension is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (703) 305-1383. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at (703) 305-8283.



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| 026304                     | 7590 08/31/2004 |                      | EXAM                   | INER             |
|                            | CHIN ZAVIS ROSE | IMAN                 | ANYA, CH               | HARLES E         |
| 575 MADISON<br>NEW YORK, N |                 |                      | ART UNIT               | PAPER NUMBER     |
| 11211 10144,1              | , 1 10022 2000  |                      | 2126                   |                  |
|                            |                 |                      | DATE MAILED: 08/31/200 | 4                |

### Notice of Fee Increase on October 1, 2004

If a reply to a "Notice of Allowance and Fee(s) Due" is filed in the Office on or after October 1, 2004, then the amount due will be higher than that set forth in the "Notice of Allowance and Fee(s) Due" because an increase in fees effective on October 1, 2004 is anticipated. See Revision of Patent Fees for Fiscal Year 2005; Proposed Rule, 69 Fed. Reg. 25861, 25863, 25864 (May 10, 2004).

The current fee schedule is accessible from WEB site (http://www.uspto.gov/main/howtofees.htm).

If the fee paid is the amount shown on the "Notice of Allowance and Fee(s) Due" but not the correct amount in view of the fee increase, a "Notice of Pay Balance of Issue Fee" will be mailed to applicant. In order to avoid processing delays associated with mailing of a "Notice of Pay Balance of Issue Fee," if the response to the Notice of Allowance is to be filed on or after October 1, 2004 (or mailed with a certificate of mailing on or after October 1, 2004), the issue fee paid should be the fee that is required at the time the fee is paid. See Manual of Patent Examining Procedure (MPEP), Section 1306 (Eighth Edition, Rev. 2, May 2004). If the issue fee was previously paid, and the response to the "Notice of Allowance and Fee(s) Due" includes a request to apply a previously-paid issue fee to the issue fee now due, then the difference between the issue fee amount at the time the response is filed and the previously-paid issue fee should be paid. See MPEP Section 1308.01.

Effective October 1, 2004, 37 CFR 1.18 is proposed to be amended by revising paragraphs (a) through (c) to read as set forth below. As stated above, the final fee may be a different amount, and applicant should check the WEB site given above when paying the fee.

Section 1.18 Patent post allowance (including issue) fees.

(a) Issue fee for issuing each original or reissue patent, except a design or plant patent:

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Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at (703) 305-8283.